

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		Attorney Docket Number <div style="text-align: center; font-weight: bold;">41793-8002.US01</div>
International Application No. <div style="text-align: center; font-weight: bold;">PCT/US2004/019780</div>		International Filing Date <div style="text-align: center;">18 June 2004</div>
Title of Invention <div style="text-align: center; font-weight: bold;">ADAPTIVE READ AND READ-AFTER-WRITE FOR CARBON NANOTUBE RECORDERS</div>		U.S. Application No. (if known, see 37 CFR §1.5) <div style="text-align: center; font-size: 1.5em; font-weight: bold;">10/563457</div>
Applicant(s) for DO/EO/US <div style="text-align: center;">William S. Oakley</div>		Priority Date Claimed <div style="text-align: center;">03 July 2003</div>

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2)).
 - a. ☐ is transmitted herewith.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An executed Inventor Declaration and Power of Attorney.
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR §1.97 and §1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSTITUTE** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of Power of Attorney and/or Address letter.
16. ☐ Other

10/563457

Attorney Docket No. 41793-8002.US01

JAP15 Rec'd PCT/PTO 03 JAN 2006

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE
(EO/US)

IN RE APPLICATION OF: William S. Oakley
U.S. APPLICATION NO.: Not Yet Assigned
INTERNATIONAL APPLICATION NO.: PCT/US2004/019780
INTERNATIONAL FILING DATE: 18 June 2004
PRIORITY DATE: 03 July 2003
TITLE: ADAPTIVE READ AND READ-AFTER-WRITE FOR
CARBON NANOTUBE RECORDERS

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT
Attn: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Express Mail Label No: EL 325 820 398 US
Date of Deposit: 03 January 2006

I hereby certify that the attached filing: application transmittal under 35 U.S.C. §371, Preliminary Amendment, and an executed oath or declaration of the inventor under 35 U.S.C. §371 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Mail Stop PCT, Attention: DO/EO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

January 3, 2006

Jennifer Mahoney
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